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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Hitoshi Ota, et al

Group No.:

Serial No.: 09/832,171

Filed: April 10, 2001

Examiner:

For: PROCESS FOR THE PREPARATION OF PIGMENT DISPERSION, PIGMENT DISPERSION OBTAINED BY THE SAME, INK JET RECORDING INK COMPRISING THE SAME, AND

RECORDING METHOD AND RECORDED MATERIAL USING THE SAME

Attorney Docket No.: U013390-0 **Assistant Commissioner for Patents**

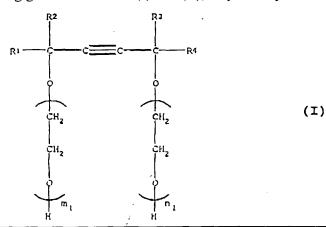
Washington, D.C. 20231

PRELIMINARY AMENDMENT

Please amend the above application as follows:

IN THE CLAIMS:

The process for the preparation of a pigment dispersion 6. (Amended) according to claim 4, wherein said acetylene glycols and acetylene alcohols are compounds represented by the following general formulae (I) and (II), respectively:



CERTIFICATE OF MAILING (37 CFR 1.8a)

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Assistant Commissioner of Patents and Trademarks, Washington, D.C.

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Date: May 18, 2001